

Chencinski, Sieg

Sent: Friday, September 10, 2010 5:25 PM
To: Chencinski, Sieg
Subject: Summary for Monday's 2 pm call re: 10/814,954
Attachments: PTOL413A.pdf
Re: US Serial No. 10/814,954
Attorney Docket No. 14846-24

Examiner Chencinski -

Thank you for making yourself available to discuss the above-referenced application with me. Below is a summary of what I wish to discuss during Monday's call:

- Independent claim 1, specifically the limitation of "automatically selected, by a facilitation server, an automated exchange or a non-automated exchange from the plurality of exchanges for execution of the client order based on the one or more contracts and based on electronically stored routing rules"
- Office Action at page 3, citing Gutterman at col. 1, lines 32-37
- Office Action at page 4, reciting that Gutterman does not disclose automatically selecting one of the plurality of exchanges
- Office Action at page 4, reciting that Slone discloses automatically selecting one of the plurality of exchanges, citing Slone at p 6. paras. 68 and 70
- Gutterman at col. 1, lines 32-37 cited in Office Action; col. 6, lines 41-50
- Slone at p 6., paras. 68 and 70 cited in Office Action; para. 12 with respect to Local Brokers 102
- Slone at Figure 1, specifically reference numbers 112 and 102
- Present Specification at para. 71 and 72, with respect to differences between automated exchange and non-automated exchange
- Discussion of how Gutterman does not discuss automatically selecting an automated or non-automated exchange, discussion of what constitutes automated and non-automated exchanges, discussion of how Slone does not describe automated exchanges (only broker/dealer exchanges) and therefore does not describe automatically selected automated or non-automated exchange

I look forward to speaking with you on Monday at 2 PM.

Regards,

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